Profile and General Information

Name: Center for Healthcare Policy and Research

Year Established: 1994

Director:
  Joy Melnikow, MD, MPH, Family and Community Medicine (2010 to present)
  jamelnikow@ucdavis.edu
  (916) 734-3160

Administrative Contact:
  Teresa L Farley
  tlfarley@ucdavis.edu
  916-734-4665

Location and General Contact:
  Grange II
  2103 Stockton Blvd
  Sacramento, CA 95817
  P (916) 734-2818
  F (916) 734-8731
  http://www.ucdmc.ucdavis.edu/chpr/

Space:
  CHPR occupies 6,000 square feet in the Grange Building adjacent to the UC Davis Medical Center
  providing a convenient location for researchers and research staff to work collaboratively with other
  university departments, patients and service providers.

Significant Equipment/Instrumentation:
Mission Statement

The mission of the Center for Healthcare Policy and Research is to facilitate research, promote education and inform policy about health and healthcare.
Program Highlights

Foster a Vibrant Community of Learning and Scholarship

Through transformative and diverse opportunities for learning, UC Davis will inspire and prepare its students, faculty, staff and alumni to lead and excel in solving the dynamic challenges of tomorrow’s world.

One of the ongoing and significant accomplishments during this fiscal period was the continuation and enhancement of the Comparative Effectiveness Research (CER) Methods Course, originally funded by the NIH and currently supported collaboratively by CHPR and the CTSC. Dr. Joy Melnikow with her colleagues; Dr. Daniel Tancredi, Dr. Tonya Fancher, Dr. Richard Kravitz, Dr. Patrick Romano, Dr. Paul Leigh, and Dr. Peter Franks developed a modular survey course for graduate students interested in gaining more knowledge and expertise in the various components of Comparative Effectiveness Research. The course, titled “Principles and Methods of Comparative Effectiveness Research” provides a direct hands-on on approach, combining lecture, group and individual activities as well as the development of a CER student Project. The initial class was held in spring 2011. The course has been produced for on-line learning through UCD Extension and can be viewed at: http://ctsa-cermethodscourse.org/. A hybrid in-person/on-line version of the course was offered during fall 2012, a total of 14 students completed the course. Seven publications have resulted from systematic reviews begun by students as group projects for the 2011 course. They are as follows:


Drive Innovation at the Frontiers of Knowledge

Building on the interdisciplinary strengths of its faculty, UC Davis will promote a collaborative
environment that spurs innovations in learning and research by discovering ideas that take shape at the frontiers and intersections of academic disciplines.

CHPR hosts a research project led by Anthony Jerant, MD, MPH and funded by the National Cancer Institute and American Reinvestment and Recovery Act, focused on the low colorectal cancer screening (CRC) rates in the United States particularly among Latinos. The study uses an interdisciplinary approach combining technology and medical practice related to CRC screening. Using tailored, interactive multimedia computer program (IMCP), it aims to increase a patients’ confidence in their ability to undergo CRC screening. This project combines innovative technological delivery methods. Subjects are randomized to receive either the experimental tailored IMCP or a control “electronic leaflet” IMCP. Participants in the study self-select use of either an English or Spanish-language version of the program. Currently almost 1,200 subjects have been enrolled (about 50% of those Latino and of those, about half Spanish-speaking). We anticipate that wider use of the tailored IMCP could increase CRC screening overall while reducing disparities in screening between Latinos and non-Latinos.

**Embrace Global Issues**

*UC Davis will be the university of choice for international students, post-doctoral scholars, faculty, prestigious international and governmental exchange programs and research enterprises that have trans-national and global applications.*

CHPR has a collaborative relationship with York University in the United Kingdom. As a result of this collaboration CHPR has hosted one visiting scholar each year during the last three fiscal periods. These scholars are finalizing their masters level work in Health Economics and have gained valuable experience while making contributions to applied research projects through their cost effectiveness and comparative effectiveness modeling skills. This collaboration continues and an additional scholar is expected next fiscal period. This collaboration allows CHPR to engage with international scholars, conducts comparative effectiveness and cost effectiveness applications, while contributing to health services research that has global applications.

**Champion Health, Education, Access and Opportunity**

*Guided by its commitments to social responsibility and community engagement, UC Davis will support and sustain healthy, equitable communities, in which all will have access to the benefits of education and discovery.*

CHPR administered a project supported by California Legislation AB 329, which authorized the Medical board to establish a pilot program to expand the practice of telemedicine in California, and to deliver health care and health information to those with chronic diseases. This project, led by Dr. James Nuovo and funded through the Medical Board pilot program measured the medical and cost effectiveness of a “Self-Management Education Program via Tele-medicine for Patients in Rural Underserved communities in California with a Chronic Disease”. This was a 3-year project, finalized in June 2012, which targeted rural community clinics currently not providing diabetes self-management and prevention education. The goal was to increase access to the health education through a train the trainer model (the trainer being the patient), and measuring the impact on health outcomes for patients. The program demonstrated high satisfaction with telemedicine education as a delivery option, increased knowledge and increased confidence in the ability to conduct self-care related to diabetes post education.
intervention. This project was an example of using technology to reach underserved and rural communities with valuable health education information, while engaging clinics within the community to serve as trusted and well-known, central meeting and information delivery locations.

**Cultivate a Culture of Organizational Excellence, Effectiveness and Stewardship**

*UC Davis will provide an efficient, professional administrative organization that is committed to serving and advancing the university’s academic mission.*

CHPR incorporates a strong focus on stewardship of its resources, with a focus on serving CHPR members from across the UC Davis campus in grants development and grants administration. One of the successes includes the achievements of the grants development team. This team combines the efforts of existing staff, with grant subject matter expertise, to support faculty members of CHPR in developing grant proposals. The team works collaboratively to cover all elements of the application, supporting researchers and enabling them to focus on the development of their science. In addition, this service allows for shared responsibilities during busy periods while eliminating increase in costs during high volume grant submission cycles. The grant submission team has increased their submission volume by 33% from 2010-2011 to 2011-12. A total of 16% of funds requested were received in 2011-2012. The grants team generated a total of $3.9 million in research funding during 2011-2012, a 21.8% increase from 2010-2011. The grants team was awarded the Dean’s Team Award for Research Excellence during 2011-2012.
Peer Comparison

UCLA Center for Health Policy and Research

1. Collaborative work on California Healthcare Benefits Review Program
2. Comparative Effectiveness, Health economics, Health Policy and Evaluation
3. Disparities

Philip R. Lee Institute for Health Policy Studies at UC San Francisco

1. Health Care and Health Care Reform

Center for Health Care Policy and Research at Pennsylvania State University

1. Disparities
2. Access for the uninsured
SWOT Analysis

STRENGTHS

• Ability to compete successfully for large multidisciplinary grants
• Comparative Effectiveness Research Expertise
• Diverse and multi-lingual staff
• Diverse research funding support
• One-Stop ORU service center for full research grant (pre and post-application) services supporting Principal Investigators
• International graduate internship
• Primary Care Outcomes Research Program – developing researchers of the future
• Lead Evaluation team for California Health Benefits Review Program assessing Public Health Impacts of new Health Legislative Bills
• Collaboration with the Clinical Translational Science Center, the Institute for Population Health Improvement, and the Cancer Center
• New health economics faculty interest group
• Center hosted annual conference related to current research/Healthcare issues

OPPORTUNITIES

• Share expertise and resources with other departments to maximize cost efficiencies
• Growing expertise in estimating health care costs and cost effectiveness modeling makes CHPR a resource for the campus and the policy community
• Growing interest in research on outcomes and costs in surgical and trauma outcomes provides new opportunities for collaboration
• Developing collaboration with Transportation and Land Use Planning faculty on campus to define effects of policies to reduce greenhouse gas emissions on health outcomes and costs
• Expanding funding emphasis at the national level on health services and outcomes research, including the Patient-Centered Outcomes Research Institute (PCORI)

WEAKNESSESS

• More space is needed for collaborative research teams and growth
• Minimal Clinical Collaboration- One weakness is a limited clinical research portfolio.

THREATS

• Space limitations threaten new projects being administered in other areas
• Decrease in funding opportunities and increased competition for existing funding opportunities
• Decreased funding opportunities may impact fundability of existing research funded staff
• Decreased staff development opportunities due to budget cuts
• Missed opportunities could be a threat to future funding
Financial Information

Fiscal Year 2011-12 Budget

<table>
<thead>
<tr>
<th>General Funds</th>
<th>Contracts &amp; Grants</th>
<th>Gifts &amp; Endowment Funds</th>
<th>Self Supporting Activities – Income &amp; Recharges</th>
<th>Self Supporting Activities – Other Sources</th>
<th>All Other Fund Sources</th>
<th>TOTAL Fund Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>Base Budget</td>
<td>$0</td>
<td>n/a</td>
<td>n/a</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<tr>
<td>Recurring</td>
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<td>n/a</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<tr>
<td>TOTAL</td>
<td>$375,000</td>
<td>$0</td>
<td>n/a</td>
<td>n/a</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

Note: Recurring general funds are sourced from the SOM Dean's office ($325,000) and indirect income of $50,000.

Sources of Funds

<table>
<thead>
<tr>
<th>SOURCES OF FUNDS</th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
<th>Percent Change 2010-11 - 2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Funds</td>
<td>$336,181</td>
<td>$329,102</td>
<td>$389,444</td>
<td>18.3%</td>
</tr>
<tr>
<td>Contracts &amp; Grants</td>
<td>$2,060,733</td>
<td>$2,105,864</td>
<td>$2,669,843</td>
<td>26.8%</td>
</tr>
<tr>
<td>Gifts &amp; Endowment Funds</td>
<td>$40,186</td>
<td>$38,353</td>
<td>$34,999</td>
<td>8.7%</td>
</tr>
<tr>
<td>Self Supporting Activities - Income &amp; Recharges</td>
<td>$36,903</td>
<td>$51,139</td>
<td>$50,518</td>
<td>(1.2%)</td>
</tr>
<tr>
<td>Self Supporting Activities - Other Sources</td>
<td>$107,962</td>
<td>$263,842</td>
<td>$393,527</td>
<td>49.2%</td>
</tr>
<tr>
<td>All Other Fund Sources</td>
<td>$107,962</td>
<td>$263,842</td>
<td>$393,527</td>
<td>49.2%</td>
</tr>
<tr>
<td>Total Sources of Funds</td>
<td>$3,273,055</td>
<td>$3,228,156</td>
<td>$3,980,832</td>
<td>23.3%</td>
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</tbody>
</table>

For the purpose of this report, source and use of "Contracts & Grants" funds are equivalent and will not tie to the general ledger.

Uses of Funds

Annual Report – Fiscal Year 2011-12
Center for Healthcare Policy & Research
Page 10
For Internal Distribution Only
## USES OF FUNDS

<table>
<thead>
<tr>
<th></th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
<th>2010-11 - 2011-12</th>
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<tbody>
<tr>
<td><strong>General Funds</strong></td>
<td></td>
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</tr>
<tr>
<td>Academic Salaries</td>
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<td>Benefits</td>
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<td>Scholarships &amp; Fellowships</td>
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<td>$</td>
<td>$229,175</td>
<td>10.5%</td>
</tr>
<tr>
<td>Other</td>
<td>$15,648</td>
<td>$1,626</td>
<td>$19,893</td>
<td>112.1%</td>
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<tr>
<td><strong>Sub-total: General Funds</strong></td>
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<td>$23,902</td>
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<td><strong>Contracts &amp; Grants</strong></td>
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<td>Supplies &amp; Expense</td>
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<td>Other</td>
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<td>$511,540</td>
<td>$675,645</td>
<td>33.8%</td>
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<tr>
<td><strong>Sub-total: Contracts &amp; Grants</strong></td>
<td>$2,060,733</td>
<td>$2,105,864</td>
<td>$2,669,843</td>
<td>26.8%</td>
</tr>
<tr>
<td><strong>Gifts &amp; Endowment Funds</strong></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Academic Salaries</td>
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<td>$266,632</td>
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<td>Staff Salaries</td>
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<td>$14,100</td>
<td>$14,100</td>
<td>0.0%</td>
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<tr>
<td>General Assistance</td>
<td>$77,351</td>
<td>$92,317</td>
<td>$106,722</td>
<td>15.6%</td>
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<tr>
<td>Benefits</td>
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<td>$1,000</td>
<td>$1,000</td>
<td>0.0%</td>
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<tr>
<td>Travel</td>
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<td>$6,530</td>
<td>$6,991</td>
<td>7.1%</td>
</tr>
<tr>
<td>Supplies &amp; Expense</td>
<td>$53,201</td>
<td>$64,342</td>
<td>$77,521</td>
<td>20.5%</td>
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<tr>
<td>Scholarships &amp; Fellowships</td>
<td>$</td>
<td>$</td>
<td>$46,349</td>
<td>n/a</td>
</tr>
<tr>
<td>Other</td>
<td>$15,648</td>
<td></td>
<td></td>
<td>n/a</td>
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<tr>
<td><strong>Sub-total: Gifts &amp; Endowment Funds</strong></td>
<td>$1,833</td>
<td>$3,354</td>
<td>$0</td>
<td>(100.0%)</td>
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<tr>
<td><strong>Self Supporting Activities - Income &amp; Recharges</strong></td>
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<td></td>
<td></td>
</tr>
<tr>
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<tr>
<td>Staff Salaries</td>
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<td>$14,100</td>
<td>0.0%</td>
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<tr>
<td>General Assistance</td>
<td>$77,351</td>
<td>$92,317</td>
<td>$106,722</td>
<td>15.6%</td>
</tr>
<tr>
<td>Benefits</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,000</td>
<td>0.0%</td>
</tr>
<tr>
<td>Travel</td>
<td>$5,351</td>
<td>$6,530</td>
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<td>20.5%</td>
</tr>
<tr>
<td>Scholarships &amp; Fellowships</td>
<td>$</td>
<td>$</td>
<td>$46,349</td>
<td>n/a</td>
</tr>
<tr>
<td>Other</td>
<td>$15,648</td>
<td></td>
<td></td>
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<tr>
<td><strong>Sub-total: Self Supporting Activities - Income &amp; Recharges</strong></td>
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<td>$440,791</td>
<td>$486,978</td>
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<td><strong>Self Supporting Activities - Other Sources</strong></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Academic Salaries</td>
<td>$13,640</td>
<td>$13,640</td>
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</tr>
<tr>
<td>Staff Salaries</td>
<td>$14,100</td>
<td>$14,100</td>
<td>$14,100</td>
<td>0.0%</td>
</tr>
<tr>
<td>General Assistance</td>
<td>$77,351</td>
<td>$92,317</td>
<td>$106,722</td>
<td>15.6%</td>
</tr>
<tr>
<td>Benefits</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,000</td>
<td>0.0%</td>
</tr>
<tr>
<td>Travel</td>
<td>$5,351</td>
<td>$6,530</td>
<td>$6,991</td>
<td>7.1%</td>
</tr>
<tr>
<td>Supplies &amp; Expense</td>
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<td>$77,521</td>
<td>20.5%</td>
</tr>
<tr>
<td>Scholarships &amp; Fellowships</td>
<td>$</td>
<td>$</td>
<td>$46,349</td>
<td>n/a</td>
</tr>
<tr>
<td>Other</td>
<td>$15,648</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td><strong>Sub-total: Self Supporting Activities - Other Sources</strong></td>
<td>$16,578</td>
<td>$620</td>
<td>$13,916</td>
<td>2143.7%</td>
</tr>
</tbody>
</table>
**Carry Forward Balances**

<table>
<thead>
<tr>
<th>Carry Forward excluding Contracts &amp; Grants</th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
<th>Percent Change 2010-11 - 2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Funds</td>
<td>$268,475</td>
<td>$305,200</td>
<td>$324,851</td>
<td>6.4%</td>
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<tr>
<td>Gifts &amp; Endowment Funds</td>
<td>$38,353</td>
<td>$34,999</td>
<td>$34,999</td>
<td>0.0%</td>
</tr>
<tr>
<td>Self Supporting Activities - Income &amp; Recharges</td>
<td>$296,992</td>
<td>$(934)</td>
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<tr>
<td>Self Supporting Activities - Other Sources</td>
<td>$20,325</td>
<td>$50,518</td>
<td>$36,603</td>
<td>(27.5%)</td>
</tr>
<tr>
<td>All Other Fund Sources</td>
<td>$67,842</td>
<td>$91,252</td>
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<td>87.3%</td>
</tr>
<tr>
<td>Total Carry Forward excluding Contracts &amp; Grants</td>
<td>$691,987</td>
<td>$481,035</td>
<td>$522,889</td>
<td>8.7%</td>
</tr>
</tbody>
</table>

**Note:** Only 37% or $203,782 of the carry forward balance listed for 2011-2012 are reserve funds available for use by CHPR. The additional funds in this category are designated for specific purposes i.e. Directors Stipends, Primary Care Outcomes Research Matching funds etc. and may not be used for new CHPR initiatives or budgetary gaps.

**Contracts & Grants**

<table>
<thead>
<tr>
<th>CONTRACTS &amp; GRANTS</th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
<th>Percent Change 2010-11 - 2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of Awards</td>
<td>9</td>
<td>13</td>
<td>18</td>
<td>38.5%</td>
</tr>
<tr>
<td>Amount of Awards</td>
<td>$2,363,081</td>
<td>$2,330,852</td>
<td>$3,084,447</td>
<td>32.3%</td>
</tr>
</tbody>
</table>

**Indirects Generated**

Indirect cost recovery for fiscal year 2011-2012 was $712,341.
Return on Investment

<table>
<thead>
<tr>
<th>RETURN ON INVESTMENT (ROI) 1</th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
<th>Percent Change 2010-11 - 2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Base Budget</td>
<td>$ -</td>
<td>$ -</td>
<td>$ -</td>
<td>n/a</td>
</tr>
<tr>
<td>Contracts &amp; Grants (Uses)</td>
<td>$2,060,733</td>
<td>$2,105,864</td>
<td>$2,669,843</td>
<td>26.8%</td>
</tr>
<tr>
<td>ROI: Contracts &amp; Grants</td>
<td>no base budget</td>
<td>no base budget</td>
<td>no base budget</td>
<td>n/a</td>
</tr>
<tr>
<td>Gifts &amp; Endowment Funds (Sources)</td>
<td>$40,186</td>
<td>$38,353</td>
<td>$34,999</td>
<td>(8.7%)</td>
</tr>
<tr>
<td>ROI: Gifts &amp; Endowment Funds</td>
<td>no base budget</td>
<td>no base budget</td>
<td>no base budget</td>
<td>n/a</td>
</tr>
</tbody>
</table>

1 Negative ROI is displayed as a "0" value, as base funding is not actually lost, but rather it did not generate a positive ROI.

Note: The ROI based on reoccurring general funds of $325,000 received from the SOM Deans office is as follows:
2011-2012: $721.49, 2010-2011: $547.00

Sources and Uses—Variance Analysis

General Funds- an increase in salaries and benefits due to a decrease administrative staff funded project time.

Contracts and Grants – an increase in total grants and contracts of 26% correlates with higher contract and grants expenditures.

Self Supporting Activities- an increase in expenditures includes the renewal of director stipend, use of the CHPR director Start Up funds, SOM Dean Matching fund support for the Primary Care Outcomes Training Project and new funding from UCOP sources.

Self Supporting Activities Other Sources-increase in usage of these funds is directly related to internal pilot awards and faculty research award sources.

All Other Funds Sources- an increase in these funds is a result of CHPR gaining the lead role as the California Healthcare Benefits Review Program Public Health Analysis Team.

Total Fund Sources – The variance of 25.9% in total fund sources is a result of the increase funded Contracts and Grants as well as Self Supporting Activities fund sources.
Personnel

Headcount and FTEs

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<th>Headcount</th>
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<td><strong>TOTAL Employees</strong></td>
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Academic Personnel

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<th>Senate Faculty</th>
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<tbody>
<tr>
<td>Robert Bell, PhD</td>
<td>1721</td>
<td>Communications</td>
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</tr>
<tr>
<td>Julie Rainwater, PhD</td>
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<tr>
<td>Thomas Balsbaugh, MD</td>
<td>1733</td>
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<td>Peter Franks, MD</td>
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<td>Anthony Jerant, MD</td>
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<td>Joy Melnikow, MD</td>
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<tr>
<td>James Nuovo, MD</td>
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<td>Sergio Aguilar-Gaxiola, MD</td>
<td>1721</td>
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<td>Richard L Kravitz, MD</td>
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<td>Romano, Patrick, MD</td>
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<td>Christian Sandrock, MD</td>
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<td>Kathy Tong, MD</td>
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<td>Daniel Tancredi, PhD</td>
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<td>Diana Cassady, PhD</td>
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<td>Steve McCurdy, PhD</td>
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<tr>
<td>Rahman Azari, PhD</td>
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<td>Garth Utter, MD</td>
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<th>Other Academics</th>
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<td>Margolis, Helene, PhD</td>
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<td>Paterniti, Debora, PhD</td>
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<td>Banafsheh Sadeghi, MD</td>
<td>1728</td>
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### Postdoctoral Researchers and Graduate Students

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<tr>
<th>Students</th>
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<tr>
<td>David Chin</td>
<td>Graduate Student Researcher</td>
<td>CHPR</td>
</tr>
<tr>
<td>Zhuo Yang</td>
<td>Visiting Scholar – MS Candidate</td>
<td>CHPR</td>
</tr>
<tr>
<td>Edward Cruz MD</td>
<td>Post Doctorate Fellow</td>
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<tr>
<td>Beatrice Tettah</td>
<td>Post Doctorate Fellow</td>
<td>Pediatrics</td>
</tr>
<tr>
<td>Ruby Shah</td>
<td>Post Doctorate Fellow</td>
<td>Internal Medicine</td>
</tr>
<tr>
<td>Gayatri Patel</td>
<td>Post Doctorate Fellow</td>
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Future Budget Request for Fiscal Year 2013-14

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<td><strong>$67,000</strong></td>
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**Note:**

**Funds Available 2013-2014**

$321,000 SOM Administrative support (includes a $4,000 cut from previous years)

$39,555 Dean support of T32 program coordinator/Outcomes Research Capacity Building (1 time funds)

$85,175 CHPR Reserves

$64,348 2010-2011 Indirect income pending from OVCR

($23,650) 2010-2011 unanticipated cut in indirect income

$130,455 2011-2012 indirect income forecasted from OVCR 12/31/13

$616,883 – Total Available

$604,179 – 2013-2014 Budgeted expenses

$12,704 – Forecasted reserve balance 6/30/2014

**One-time requests**

During 2012-2013 CHPR utilized $118,775 of reserve funds to cover annual expenses and expand the research unit office space, adding a total of 6,000 sq. ft. This was done to support 3 new funded projects and their staff totaling over $6 million in new appropriations.

As noted previously CHPR generated **$712,000** in indirect income during 2011-2012 and it is forecasted to generate over **$1.1 million** in indirect during 2013-2014, reflecting a 37% increase. CHPR is currently budgeting for the use of indirect income pending at OVCR of **$40,698**, which includes an unanticipated cut of **$23,650** that impacts CHPR reserve balances. In addition, CHPR is forecasting the use of **$130,455** of forecasted income from indirect income of fiscal period 2012-2013. However, CHPR has experienced new budget challenges in the form of SOM cuts, space expansion expenses and staff salaries. The following items are 2013-2014 annual expenses for which CHPR is requesting financial support from OVCR

- New expanded space initiative Lease space/utilities $57,000
- SOM budget cut $4,000
- Staff reclassification required skills to support new projects $6,000
- Cut in indirect income decreasing reserves by $23,650
- **Total** $90,650

CHPR is requesting assistance from OVCR for these new expenses and coverage for the unanticipated indirect decrease for 2011-2012. CHPR currently receives no Base/Current funding from OVCR, yet generates measureable indirect income, which has increased each year over the last three years. With the anticipated increase of 37% of indirect income, based on currently funded awards, from CHPR
initiated contracts and grants CHPR requests the support of these expenses from OVCR as a collaborative contribution to the continued growth and development of CHPR. The assistance from OVCR will provide a contribution to the CHPR growth and expansion while assisting CHPR to retain a viable source of reserve funds and addressing the unanticipated reduction in indirect return income for 2011-12. Future plans to establish sustainable revenues to cover expansion lease costs include new grant and contract proposals budgeting lease costs, when in accordance with UCD policy.

Goals and Metrics

2011-2012 Achievements

1. Increase the number of grants/contracts proposals processed by 20%
   a. The CHPR center processed a total of 26 proposals an increase of 8%
   b. The CHPR center increased the dollars requested in grants and contracts by 207%

2. Increase the number of grants/contracts secured by 5%
   a. CHPR achieved an increase of 38.5% of contracts and grants secured
   b. CHPR has secured an increase of 32.3% in award dollars funded

3. Increase the amount of funding dollars managed by 5%
   a. CHPR achieved an increase of 23.3% of dollars managed

4. Membership
   a. Increase the number of affiliate (Non UC Davis Faculty) members at CHPR 5%
      i. CHPR achieved an increase in new affiliated members of 12.5%
      ii. CHPR achieved an increase in membership of 8%

5. Increase the number undergraduate and graduate students supported by 2 students or .50 FTE
   a. CHPR supported a total of 7 students; 5 post doctorate fellows, and 2 GSR’s funded through CHPR resources, this is an increase of 75%

6. Obtain a 90% level of client satisfaction for PI’s using proposal development and project administration services
   a. CHPR has continued to receive positive and consistent feedback from faculty researchers using our services.

7. Research Seminars: The CHPR center hosted a total of twenty-six research seminars during FY 11/12. These seminars invite internal and external university scholars, federal, state and local stakeholders to present research findings of completed, in process or proposed projects.

8. Publications: 78 publications were authored/co-authored by associated faculty, staff researchers, affiliated researchers and students based on research that was funded in whole or in part by CHPR administered projects. These are in addition to the 7 publications previously mentioned as a result of the CER course.
9. The CHPR Grants Development Team received the 2011-2012 Deans Team Award of Excellence for Research.

10. CHPR hosted an international visiting scholar from York University Health Economics program, Michael Urbich, who worked with researcher Daniel Nashijima, MD and Joy Melnikow, MD, MPH on a project to identify and evaluate the cost effectiveness of a clinical decision rule for clinicians to predict the need for a CT scan to evaluate pediatric blunt abdominal trauma.

11. CHPR hosted two graduate student researchers during this FY 11/12 to work on Healthcare Quality Measurement Tool project and a Nurse to Patient Ratio evaluation project, both led by researcher Patrick Romano, MD.

12. CHPR-trained five Post Doctoral fellows in the Primary Care Outcomes Research Fellowship funded by ARRA/HRSA Training grants and matching funds from the Deans office. These fellows were board certified physicians training to become faculty researchers.

Goals for 2012-2013

- Increase the number of grants/contracts proposals processed by 10%
- Increase the number of grants/contracts secured by 5%
- Increase the amount of funding dollars managed by 5%
- Increase the number of non-faculty members at CHPR 5%
- Increase the number undergraduate and graduate students supported by 2 students or .50 FTE
- Obtain a 90% level of client satisfaction for PI’s using proposal development and project administration services

Carry Forward Balances (excluding Contracts & Grants)

The carry forward funds that are un-encumbered or not special one-time funds, matching funds or funds dedicated to a focused and limited use were for FY 11/12 were $203,782.

The carry forward funds that are forecasted to be un-encumbered and real reserves as of the end of FY 12/13 are $85,007 (pending estimated indirect income of $40,698 2010-2011 and $130,455 for 2012-2013).

The carry forward funds that are forecasted to be un-encumbered and real reserves at the end of FY 13/14 are $12,704.

Appendix A: CHPR Executive Committee Members
Joy Melnikow, M. D., M. P. H. Director, Center for Healthcare Policy and Research and Professor in Family and Community Medicine, UC Davis

Rahman Azari, Ph.D., Lecturer, Department of Statistics UC Davis, Committee Member

Klea Bertakis, M.D., M.P.H., Professor and Chair Department of Family and Community Medicine, UC Davis, Committee Member

Adela de la Torre, Ph.D., Professor and Director, Vice Chancellor Student Affairs, Committee Member

Joshua J. Fenton, M.D., M.P.H. Associate Professor Department of Family and Community Medicine, UC Davis, Committee Member

Anthony F. Jerant, M.D., Professor, Department of Family and Community Medicine, UC Davis, Committee Member

Richard L. Kravitz, M.D., M.S.P.H., Professor and Co-vice Chair, Research Department of Internal Medicine, UC Davis, Committee Member

J. Paul Leigh, Ph.D. , Professor, Public Health Sciences, UC Davis, Committee Member

Lisa Miller, Ph.D., Associate Professor of Human Development, UC Davis, Committee Member

Debora A. Paterniti, Ph.D., Associate Adjunct Professor, Departments of Internal Medicine and Sociology, UC Davis, Committee Member

Patrick S. Romano, M.D., M.P.H. , Professor, Department of Internal Medicine, Department of Pediatrics, UC Davis, Committee Member

Mary Lou de Leon Siantz, Ph.D., R.N., F.A.A.N. , Professor, Betty Irene Moore School of Nursing, UC Davis, Committee Member

Dennis M. Styne, M.D. , Professor, Department of Pediatrics, Chief of Pediatric Endocrinology, Director of the Newborn Screening Program and Childhood Obesity Clinic, UC Davis, Committee Member

Daniel J. Tancredi, Ph.D. , Assistant Professor in-Residence, Department of Pediatrics, UC Davis, Committee Member

L. Elaine Waetjen, M.D., Associate Professor, Department of Obstetrics and Gynecology, UC Davis, Committee Member

Appendix B: Collaborators and Visitors
8/31/2011 "Systematic reviews: Potential and Pitfalls" Roger Chou, MD, Scientific Director of the Oregon Evidence-based Practice Center. This seminar was CO-SPONSORED by CHPR and Clinical and Translational Science Center. Following the seminar, Dr. Chou also provided a workshop for researchers planning systematic reviews “Practical Systematic Review Research Design”


10/17/2011 “Evidence and Communication: Learning from the Confusion over USPSTF Screening Mammography Recommendations” Stephen Taplin, MD, MPH National Cancer Institute, Chief, Process of Care Research Branch and the Behavioral Research Program. This seminar was CO-SPONSORED by CHPR and UC Davis Cancer Center.

11/30/2011 “Implementation of the Affordable Care Act: Opportunities for Collaboration and Partnership” Herb Schultz, MA Region IX Director of the US Dept. of Health and Human Services. This seminar was CO-SPONSORED by CHPR and the American Medical School Association (AMSA)

1/25/2012 “The Comparative Effectiveness Large Dataset Analysis Core: A Resource for Accelerating Research with Large, Public Datasets.” Janet Coffman, MA, MPP, PhD Assistant Adjunct Prof UCSF Institute for Health Policy Studies

2/8/2012 "Ethics and Health System Reform: Caring for Vulnerable Patients and Populations" Mathew Wynia, MD Clinical Assistant Professor of Medicine Department of Medicine, Infectious Diseases University of Chicago Medical Center" AMA Director of the Institute for Ethics and the Center for Patient Safety

3/7/2012 "Policy options to stabilize the registered nurse workforce supply - analysis of nationally representative dataset" Byung-Kwang Yoo, MD, MS, PhD and Mhio Sato,RN, PhD Assistant Prof Tohoku University Graduate School of Medicine, Dept. of Nursing Education and Administration, Japan

3/21/2012 “Walking, Biking, Electric Driving: What are the Health Benefits of Sustainable Transportation Alternatives?” Neil Maizlish, PhD, MPH Research Scientist, California Dept. of Public Health

4/4/2012 “Generalized Redistribute-to-the-right Algorithm: Application to the Analysis of Censored Cost Data” Dr. Hongwei Zhao, ScD Associate Prof Epidemiology and Biostatistics, Texas A&M Health Science Center.

4/18/2012 “How Proposition 29 will save lives, keep kids from smoking and fund research for potential cures.” Timothy Gibbs, California Cancer Research Act Field Director, American Cancer Society.

4/25/2012 “Does Parent Need to Watch Over Care Reflect Hospital Safety Climate?” Elizabeth Cox, MD, PhD, Dept. of Pediatrics University of Wisconsin, Madison.
Appendix C: Program Members
*Indicates Executive Committee Member

Rina E. Alcalay, Ph.D.  Jared D. Ament, M.D., M.P.H.
Professor Emeritus  Neurosurgery Resident
Dept of Communication, UC Davis  Dept. of Neurosurgery, UC Davis School of Medicine

Thomas F. Anders, M.D.  Rahman S. Azari, Ph.D.*
Professor Emeritus  Lecturer
Psychiatry and Behavioral Science  Department of Statistics, UC Davis
UC Davis M.I.N.D. Institute

Aaron Bair, M.D.  Dian Baker, Ph.D., A.P.R.N.-B.C., P.N.P.
Assistant Professor  Postdoctoral Scholar
UC Davis Health System  Betty Irene Moore School of Nursing
Emergency Medicine, Academic Office  UC Davis

Debra Bakerjian, Ph.D., R.N., F.N.P.  Thomas A. Balsbaugh, M.D.
Assistant Adjunct Professor  Assistant Clinical Professor
School of Nursing UC Davis  Dept. of Family and Community Medicine UC Davis

Heejung Bang, Ph.D.  Laurel Beckett, Ph.D.
Department of Public Health Sciences  Professor and Vice-Chair, Division of Biostatistics
UC Davis School of Medicine  Public Health Sciences, UC Davis

Robert A. Bell, Ph.D.  Lars Berglund, M.D., Ph.D.
Professor  Associate Dean, Clinical and Translational Research
Dept of Communication  Clinical and Translational Science Center, UC Davis

Klea D. Bertakis, M.D., M.P.H.*  Joshua Breslau, Ph.D., Sc.D.
Professor and Chair  Assistant Professor In-Residence
Dept. of Family and Community Medicine  Dept. Internal Medicine, UC Davis

Robert Byrd, M.D., M.P.H.  Edward J. Callahan, Ph.D.
Associate Professor  Associate Dean for Academic Personnel, UC Davis
Department of Pediatrics, UC Davis  School of Medicine and Professor of Family Medicine

A. Colin Cameron, Ph.D.  Caroline J. Chantry, M.D.
Professor  Associate Professor
Department of Economics UC Davis  Dept of Pediatric, UC Davis

David Tom Cooke, M.D.  Rosemary D. Cress, Dr.P.H.
Assistant Professor of Clinical Surgery  Dept. of Public Health Sciences
Dept of Surgery, Div. of Cardiothoracic Surgery  Adjunct Associate Professor
UC Davis School of Medicine  UC Davis School of Medicine

Natalia Deeb-Sossa, Ph.D.  Adela de la Torre, Ph.D.*
<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
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<tbody>
<tr>
<td>Assistant Professor II</td>
<td>Professor and Director</td>
<td>Chicana/o Studies, UC Davis</td>
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<td>Department of Sociology, UC Davis</td>
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<td>Robert W. Derlet, M.D.</td>
<td>Louis L. Downs, PhD</td>
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<td>Holli A. DeVon, Ph.D., R.N.</td>
<td>Christiana M Drake, PhD</td>
<td>Department of Statistics, UC Davis</td>
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<td>Jonathan M. Ducore, M.D., M.P.H.</td>
<td>Tonya Fancher, MD, MPH</td>
<td>UC Davis, Internal Medicine Residency Program</td>
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<td>Drew Halfmann, Ph.D.</td>
<td>Department of Sociology, UC Davis</td>
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<td>Robin L. Hansen, M.D.</td>
<td>Nasim Hedayati. M.D., M.S.</td>
<td>UC Davis School of Medicine, Department of Surgery</td>
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<tr>
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<td>L. Jay Helms, Ph.D.</td>
<td>Donald M. Hilty, M.D.*</td>
<td>Clinical Psychiatry &amp; Behavioral Science, UC Davis</td>
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<td>Calvin H. Hirsch, M.D.</td>
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<tr>
<td>Jeffrey S. Hoch, Ph.D.</td>
<td>Associate Professor</td>
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</table>
University of Toronto
School of Medicine
Department of Health Policy, Management and Evaluation

Anthony F. Jerant, M.D.*
Professor – School of Medicine
Dept. of Family & Community Medicine
UC Davis

Nancy Ree Joye, M.D.
Clinical Professor
Department of Pediatrics UC Davis

Katherine Kim, M.P.H.
Graduate Student
Betty Irene Moore School of Nursing
UC Davis

Sunny Kim, Ph.D.
Assistant Professor
Department of Orthopaedic Surgery
School of Medicine UC Davis

Kenneth W. Kizer, M.D., M.P.H.
Distinguished Professor
Director, Institute of Population Health Improvement
Department of Emergency Medicine
UC Davis

Patrick Marius Koga, M.D., M.P.H.
Associate Clinical Professor of Public Health
UC Davis
Center for Health Services Research
In Primary Care

Richard L. Kravitz, M.D., M.S.P.H.*
Professor and Co-vice Chair, Research
Department of Internal Medicine
Core Faculty Member, CHSR/PC

Nathan Kuppermann, M.D., M.P.H.
Professor and Chair
Department of Emergency Medicine UC Davis
J. Paul Leigh, Ph.D.*
Professor
Public Health Sciences

University of California, Davis

Li, Su-Ting, M.D.
Assistant Professor, Pediatrics
IOR, Pediatrics
University of California, Davis

Li, Zhongmin, Ph.D.
Assistant Adjunct Professor
Department of Internal Medicine
Division of General Medicine UC Davis

Ming-cheng Lo, Ph.D.
Associate Professor
Department of Sociology
UC Davis

Albert Lowey-Ball, M.A., M.S
Adjunct Associate Professor
Health Policy & Economics

Donald O. Lyman, M.D., D.T.P.H.
Chief, Division of Chronic Disease & Injury Control
California Department of Health Services

James P. Marcin, M.D., M.P.H.
Associate Professor
Department of Pediatrics UC Davis

Helene Margolis, Ph.D., M.A.
Assistant Adjunct Professor
Department of Internal Medicine:
General Medicine UC Davis

Craig M. McDonald, M.D.
Professor
Dept of Physical Med. & Rehabilitation UC Davis
Joy Melnikow, M.D., M.P.H.*
Director, Center for Healthcare Policy and Research
Professor UC Davis
Dept of Family and Community Medicine
Frederick J. Meyers, M.D.
Senior Associate Dean
Professor of Internal Medicine and Pathology
Chair of Internal Medicine
University of California, Davis
School of Medicine, Dept. of Internal Medicine

Hans-Georg Müller, M.D., Ph.D.
Professor
Department of Statistics
UC Davis

Thomas S. Nesbitt, M.D., M.P.H.
Executive Associate Dean
UC Davis School of Medicine

Daniel Nishijima, M.D. (7-22-2009)
Staff Physician
UC Davis Department of Emergency Medicine
School of Medicine

James Nuovo, M.D.
Professor
Associate Dean of Graduate Medical Education,
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Appendix D: Publications
Cress, Rosemary:


Kravitz, Richard


Paterniti, Debora


Butani L, Paterniti DA, Tancredi DJ, Li STT. Attributes of residents as teachers and role models - A mixed methods study of stakeholders. Med Teach. 2012 Nov 8. [Epub ahead of print]


Romano, Patrick


Davies SM, McDonald KM, Schmidt E, Schultz E, Geppert JJ, Romano PS. Expanding the uses of AHRQ's Prevention Quality Indicators: Validity from the clinician perspective. Medical Care; 49(8):679-85.


Tancredi, Daniel


Davis BB, Shen Y-H, Tancredi DJ, Flores V, Davis RP, Pinkerton KE: Leukocytes Are Recruited through the Bronchial Circulation to the Lung in a Spontaneously Hypertensive Rat Model of COPD. Plos One 2012, 7(3).


Wisley, Barth


Appendix D: Public Events

Seminar Series
A weekly seminar series is hosted by CHPR. This is an educational seminar providing UC Davis and external researchers the opportunity to present their research in its current stage/phase and receive feedback from other interested students, staff and faculty researchers at UC Davis in attendance. This is an open seminar and is certified for CME credit. This seminar is active from fall through the spring quarters, following the academic year.